Attorney Docket No.: APMED-001A



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Apparition Media, LLC	)	
Serial No.:	10/827,010	)	Art Unit: Unknown
Filing Date:	April 19, 2004	)	Examiner: Unknown
For:	Optical Disc Having Lenticular Surface and Method of Manufacturing	) ) )	

# INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. SECTION 1.97

Mail Stop DD Assistant Commissioner for Patents Post Office Box 1450 Alexandria, Virginia 22313-1450

#### Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on forms PTO/SB/08A for U.S. Patent Documents and PTO/SB/08B for Non Patent Literature Documents enclosed herewith in duplicate. Copies of all Non Patent Literature disclosure documents are attached hereto for the Examiner's review.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The disclosure documents enclosed herewith and listed on the attached form PTO/SB/08B are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required.

If a fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: 5/26/04

Customer No. 007663

William J. Brucker

Registration No. 35,462

STETINA BRUNDA GARRED & BRUCKER

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(949) 855-1246

Please type a plus sign (+) inside this box

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/827,010
Filing Date	April 19, 2004
First Named Inventor	Kent Christian Johnson
Group Art Unit	unknown
Examiner Name	unknown
Attorney Docket Number	APMED-001 A

	ENCLOSURES (check	all that apply)	
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group	
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences	
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group ( Appeal Notice, Brief, Reply Brief)	
After Final	Petition Petition	Proprietary Information	
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter	
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	X Other Enclosure(s) (please identify below):	
Express Abandonment Request	Terminal Disclaimer  Request for Refund	Please charge any additional amount to deposit account no. 19-4339	
X Information Disclosure Statement	CD, Number of CD(s)	117-1337	
Certified Copy of Priority Document(s)	Remarks Transmittal, IDS Pursua	ant to 37 CFR Section 1.97; 1	
Response to Missing Parts/ Incomplete Application	PTO/SB/08B Form (1-Non Patent Lit. Document OF 23 pages); PTO/SB/08A Form-submitted in duplicate; Certificate of Mailing; Return Postcard		
Response to Missing Parts under 37 CFR 1.52 or 1.53		,	
SIGNATU	JRE OF APPLICANT, ATTORNEY, OR	AGENT	
Firm or Individual name  WILLIAM J. B	RUCKER INDA GARRED & BRUCKER		
Signature			
Date 5/26/0	04		

CERTIFICATE OF MAILING					
	to: Commissioner for Patents, Washington, DC 20231 on this date:				
Typed or printed name	BROOKS D. HALL,				
Signature	10mp. Hall Date 5/26/2004				

JUN 0 1 2004 HUL

ATTORNEY: William J. Brucker

TITLE: Optical Disc Having Lenticular Surface and Method of Manufacturing

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- 4. (1) One PTO/SB/08B IDS Form-for non patent literature document of 1 page;
- 5. Non Patent Prior Art References of (23) pages;
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

JUN 0 1 2004

• Nestitute for form 1449A/PTO

Complete if Known					
Application Number	10/827.010				
Filing Date	4/19/2004				
First Named Inventor	KENT CHRISTIAN JOHNSON				
Art Unit	UNKNOWN				
Examiner Name	UNKNOWN				
Attorney Docket Number	APMED-001A				

Sheet 1 of 1 Attorney Docket Number APMED-001A

Examiner	Cite	Document Number	U. S. PATENT Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5348841	9/20/1994	TAO ET AL	
		<sup>US-</sup> 5364274	11/15/1994	SEKIGUCHI	
		<sup>US-</sup> 5494445	2/27/1996	SEKIGUCHI ET AL	
		<sup>US-</sup> 5695346	12/9/1997	SEKIGUCHI ET AL	
		<sup>US-</sup> 5967032	10/19/1999	BRAVENEC ET AL	
-		US- 6251566 B	6/26/2001	BROSH ET AL	
		US- 6398022 B	6/4/2002	MOU ET AL	
		US- 6424467 BI	7/23/2002	GOGGINS	
		US- 6490092 B)	12/3/2002	GOGGINS	
		us- 6490093 B2	12/3/2002	GUEST	
		US- 6635196 B	10/21/2003	GOGGINS	
		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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				. Complete if Known			
Substitute for form 1449B/PTO		Application Number	10/827.010				
INFOF	RMATION	DIS	CLOSURE		4/19/2004		
STATEMENT BY APPLICANT				First Named Inventor	Kent Christian Johnson		
STATEMENT BY APPLICANT		Group Art Unit	Unknown				
	(use as many she	ets a	s necessary)	Examiner Name	Unknown		
Sheet	1	of	0	Attorney Docket Number	APMED-001A		
211001	·	1 -					

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2			
		SHARPLESS, GRAHAM; "CD AND DVD DISC MANUFACTURING," July 30, 2003, pgs. 1-23, Deluxe Global Media Services Ltd., West Sussex, United Kingdom				
	-		$\downarrow$			

Examiner	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



#### LAW OFFICES

#### Stetina Brunda Garred & Brucker

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JESSIE WANG †

wbrucker@stetinalaw.com

May 26, 2004

Mr. Christian Johnson 615 Iris Avenue Corona del Mar, CA 92625

RE: Patent Application

For: OPTICAL DISC HAVING LENTICULAR SURFACE AND

METHOD OF MANUFACTURING

Application No.: 10/827,010 Filing Date: April 19, 2004

Our Ref.: APMED-001A

#### Dear Christian:

Enclosed please find copies of the Information Disclosure Statement (IDS) documents that were recently filed with the Patent and Trademark Office on behalf of Apparition Media LLC. with respect to the above identified patent application.

Should you have any questions regarding the enclosed, please do not hesitate to contact me.

Very truly yours,

STETINA BRUNDA GARRED & BRUCKER

William J. Brucker

WJB/bdh Enclosures